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**PATENT**

Attorney Docket No.  
DX0903K1

CN 028008

Decembe<sup>r</sup> 18, 2001 By: *Lois E. Miller*  
Lois E. Miller

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re application of:

J. Fernando BAZAN, et al.

Serial No.: 09/963,347

Filed: September 25, 2001

For: MAMMALIAN CYTOKINES;  
RELATED REAGENTS AND  
METHODS

Examiner: not yet assigned

Art Unit: 1646

Submission of Formal Drawings

Palo Alto, California 94304

*December 17, 2001*

BOX MISSING PARTS  
Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Honorable Sir:

In response to the Notice to File Missing Parts mailed on October 26, 2001, attached please find twenty-six (26) sheets of formal drawings for the above-identified application. These drawings include FIGS 1A, 1B, 2A, 2B, 2C, 2D, 2E, 3, 4A, 4B, 5, 6A, 6B, 6C, 7, 8, 9A, 9B, 9C, 9D, 9E, 10, 11, 12A, 12B, 12C, and 13.

Each sheet of drawings has identifying indicia on the reverse side of the drawing. If any of the formal drawings submitted are not in compliance, Applicants request an opportunity to correct any defects.

Respectfully submitted

*Lois E. Miller*

Attorney for Applicants

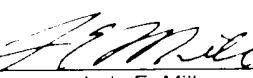
DNAX Research Institute  
901 California Avenue  
Palo Alto, California 94304  
Tel. No. (650) 496-6400  
Fax No.: (650) 496-1200

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PATENT

on December 18, 2001

Atty. Docket No. DX0903K1



Lois E. Miller

CN 028008



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5

In re application of:

J. Fernando BAZAN, et al.

Serial No.: 09/963,347

Filed: September 25, 2001

For: MAMMALIAN CYTOKINES;  
RELATED REAGENTS AND  
METHODS

Examiner: not yet assigned

Art Unit: 1646

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL  
APPLICATION - FILING DATE  
GRANTED

Palo Alto, California 94304

December 1, 2001

10  
BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" mailed by the United States Patent and Trademark Office on October 26, 2001, enclosed are the following to be made of record in the above-identified application:

15 1. Declaration and Power of Attorney signed by inventors J. Fernando Bazan, Rene de Waal Malefyt, Yong-Jun Liu and Vassili Soumelis in compliance with 37 CFR 1.63;

2. Preliminary Amendment.

3. A response to the "Notice to Comply" with sequence listing rules.

Please charge the total of \$840.00, as calculated below, to DNAX Research Institute Deposit account no. 04-1239:

Fee for filing Missing Parts of Application		
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	20 - 20 =	0*
INDEP CLAIMS	3 - 3 =	0*
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED		
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.		

	\$ 130.00
RATE	FEE
	\$ 740.00
x18=	\$ 00.00
x84=	\$ 00.00
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TOTAL	\$840.00

5 The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment to DNAX Research Institute Deposit Account No. 04-1239.

Please telephone the undersigned at (650) 496-6400, if there are any questions. This form is being submitted in duplicate.

10

Respectfully submitted,

15

January 17, 2001

By:



Sheela Mohan Peterson  
Attorney for Applicants  
Reg. No. 41,201

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DNAX Research Institute  
901 California Avenue  
Palo Alto, California, 94304-1104  
Tel. No. (650) 852-9196  
Fax No.: (650) 496-1200

25

Enclosures:

- 1) Copy of this Transmittal
- 2) Declaration and Power of Attorney signed by inventors
- 3) Copy of Notice to File Missing Parts

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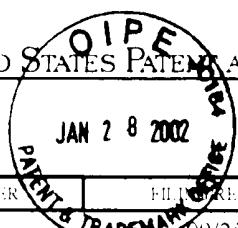
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Atty. Docket No: DX0903K1  
Date Mailed: December 18, 2001  
Inventor(s): J. Fernando BAZAN  
Serial No.: 09 963,347  
Filed: September 25, 2001  
Title: MAMMALIAN CYTOKINES; RELATED REAGENTS AND METHODS



1. Response to "Notice of Abandonment" (2 pages);  
2. Response to "Notice to Comply" (2 pages) with Diskette and paper print-out (9 pages);  
3. Preliminary Amendment (7 pages);  
4. Specification (4 pages).



UNITED STATES PATENT AND TRADEMARK OFFICE

**COMMISSIONER FOR PATENTS**  
**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**WASHINGTON, D. C. 20231**  
**www.uspto.gov**

APPLICATION NUMBER 09/963,347	FILED RECEIPT DATE 09/25/2001	FIRST NAME AND LAST NAME J. Fernando Bazan	ATTORNEY'S FILE NUMBER DX0903K
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DNAX Research Institute  
901 California Avenue  
Palo Alto, CA 94304-1104

CONFIRMATION NO. 9754  
FORMALITIES LETTER



\*OC000000006975014\*

Date Mailed: 10/26/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

41 FC:101  
42 FC:105

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment.

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

*A copy of this notice MUST be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE